

The President, Professors, and Trustees of
New York University

To all persons to whom this writing may come, Greeting:

Be it known that in recognition of the successful
completion of the requisite course of study in our

Graduate School of Business Administration

by virtue of authority granted us by charter of the State of New York
do confer upon

Joseph John Alotta
the degree of

Master of Business Administration

with all the rights, privileges, and immunities thereunto appertaining.

In witness whereof we have caused this Diploma to be signed by the
duly authorized officers of the University and sealed with our corporate
seal in the City of New York, October, Nineteen hundred eighty-four.

S. Andrew Schaffer
Secretary



John Brademas
President
Richard R. West
Dean

The President, Professors, and Trustees of
New York University

To all persons to whom this writing may come, Greeting:

*Be it known that we, in recognition of the successful
completion of the requisite course of study in our*

College of Business and Public Administration

*by virtue of authority granted us by charter of the State of New York
do confer upon*

Joseph John Alotta

the degree of

Bachelor of Science

(cum laude)

with all the rights, privileges, and immunities thereunto appertaining.

*In witness whereof we have caused this Diploma to be signed by the
duly authorized officers of the University and sealed with our corporate
seal in the City of New York, October, Nineteen hundred, eighty, one.*

S. Andrew Schaffer
Secretary



John Brademas
President
Abraham L. Gilbow
Dean

DEPAUL UNIVERSITY



OFFICE OF CONTINUING AND PROFESSIONAL EDUCATION

certifies that

Joseph J. Alotta

is awarded the Certificate of Successful Completion of the
CERTIFICATE PROGRAM IN FINANCIAL PLANNING

Presented this 20th day of December 2002

Handwritten signature of Carol Lee Roberts in cursive.

Carol Lee Roberts, CFP™, Program Manager
Office of Continuing and Professional Education

Handwritten signature of J. Steven Kelly in cursive.

J. Steven Kelly, Associate Vice President
Lifelong Learning



ELECTRONIC DATA SYSTEMS CORPORATION

THIS DIPLOMA IS TO ACKNOWLEDGE THAT

JOSEPH J. ALOTTA

IS GRADUATED AS A
SYSTEMS ENGINEER

BY EDS CORPORATION OF PLANO, TEXAS,
HAVING SUCCESSFULLY COMPLETED ALL REQUIREMENTS OF THE SYSTEMS ENGINEERING
DEVELOPMENT PROGRAM (SED) AND CERTIFIED THROUGH EXAMINATIONS, CLASSROOM TRAINING
AND PROJECT MANAGEMENT DUTIES, AND BY RECOGNITION OF HIS INSTRUCTORS, SUPERVISORS,
MANAGERS, AND ACCOUNT EXECUTIVES.

THIS CERTIFICATE AWARDED THIS DAY

JUNE 23RD, 1989

REISSUED

OCTOBER 19TH, 2007

A handwritten signature in cursive script, appearing to read "Ron Rittenmeyer".

Ronald A. Rittenmeyer
CHAIRMAN OF THE BOARD, PRESIDENT
AND CHIEF EXECUTIVE OFFICER

A handwritten signature in cursive script, appearing to read "Ronald P. Vargo".

Ronald P. Vargo
EXECUTIVE VICE PRESIDENT AND
CHIEF FINANCIAL OFFICER

A handwritten signature in cursive script, appearing to read "Storrow M. Gordon".

Storrow M. Gordon
Secretary



New York University

THE establishment of this University in 1831 was a historical landmark in the emancipation of higher education in America from the strictures of service to the few to freedom of educational opportunity for the many.

In commemoration of the granting of the University Charter, annual tribute is paid the Founders by signaling the accomplishment of those degree candidates and recipients who have distinguished themselves among their fellow students through consistent evidence of outstanding scholarship.

Joseph John Alotta

having thus achieved a place in the highest bracket of scholastic preferment recognized by the University is therefore presented as a mark of special honor this

Founders Day Award

In witness whereof we have caused this document to be issued under the official Seal of the University and signed by the authorized officers in the City of New York, this the 22nd day of April, 1982.



J. Andrew Schaffer
Secretary

John Brademas
President

**CERTIFIED
FINANCIAL
PLANNER**
BOARD OF STANDARDS



This Is To Certify That

Joseph J. Alotta

having satisfied all requirements for certification and having agreed to abide by CFP Board's *Code of Ethics and Professional Responsibility* and *Financial Planning Practice Standards* is hereby authorized to use the certification marks CFP®, CERTIFIED FINANCIAL PLANNER™ and CFP® with all rights and privileges pertaining hereto and subject to biennial renewal and other rules and regulations of CFP Board, as established and amended from time to time.


CHIEF EXECUTIVE OFFICER

No.

085573

05/12/2003

CFP®, CERTIFIED FINANCIAL PLANNER™ and CFP® are certification marks owned by Certified Financial Planner Board of Standards, Inc. (CFP Board). These marks are awarded to individuals who successfully complete CFP Board's initial and ongoing certification requirements. CFP Board is a nonprofit professional certifying organization founded in 1985 to benefit the public by fostering professional standards in personal financial planning.



Be it known that the National Association of Personal Financial Advisors accepts

Joseph J. Alotta

as a NAPFA-Registered Financial Advisor who will practice and help promote Fee-Only comprehensive financial planning. This professional agrees to abide by the NAPFA Code of Ethics, Fiduciary Oath and Standards of Practice thereof, and is hereby authorized to use the title NAPFA-Registered Financial Advisor and to enjoy all the attendant rights and privileges.

Issued this 23th day of January, 2007

A handwritten signature in dark ink, appearing to read "Richard W. Palmer", written over a horizontal line.

Chair

A handwritten signature in dark ink, appearing to read "Robert D. Berg", written over a horizontal line.

Membership

Fee  Only

The logo consists of the letters "FO" in a bold, sans-serif font, enclosed within a dark circle.

Advancing the Practice of Fee-Only Financial Planning

**AMERICA'S
BEST FINANCIAL
PLANNERS**

2005 - 2006



Joseph J. Alotta, CFP[®], MBA



THE *I* NSTITUTE OF BUSINESS & FINANCE

HEREBY CONFERS THE DESIGNATION OF
CERTIFIED FUND SPECIALIST

IN ACCORDANCE WITH ITS EDUCATIONAL
STANDARDS OF CERTIFICATION UPON

Joseph J. Alotta

HAVING AGREED TO ONGOING
PROFESSIONAL REQUIREMENTS AND
TO ABIDE BY THE CODE OF ETHICS,
THE INDIVIDUAL NAMED HEREIN
IS AUTHORIZED TO USE THE MARKS
CFS AND CERTIFIED FUND SPECIALIST
WITH ALL RIGHTS AND PRIVILEGES
PERTAINING THERETO.

Gordon K. Williamson February 2001
Gordon K. Williamson
Executive Director

FIDUCIARY OATH

National Association of Personal Financial Advisors

The advisor shall exercise his/her best efforts to act in good faith and in the best interests of the client. The advisor shall provide written disclosure to the client prior to the engagement of the advisor, and thereafter throughout the term of the engagement, of any conflicts of interest, which will or reasonably may compromise the impartiality or independence of the advisor.

The advisor, or any party in which the advisor has a financial interest, does not receive any compensation or other remuneration that is contingent on any client's purchase or sale of a financial product. The advisor does not receive a fee or other compensation from another party based on the referral of a client or the client's business.

Following the NAPFA Fiduciary Oath means I shall:

- * Always act in good faith and with candor
- * Be proactive in disclosing any conflicts of interest that may impact a client
- * Not accept any referral fees or compensation contingent upon the purchase or sale of a financial product



Signed this 23 of January, 2007
Joseph J. Della
NAPFA-Registered Financial Advisor

